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MEMO ENDORSED

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

JAMES RIST,

Plaintiff,

1:14-cv-6503 (ALC)

-against-

HSBC SECURITIES (USA) INC.,

**STIPULATION OF DISMISSAL WITH
PREJUDICE AND WITHOUT COSTS**

Defendant.

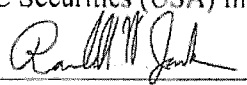
THE MATTER in dispute having been amicably resolved, it is hereby stipulated and agreed by and between the undersigned that all claims asserted in the above-captioned matter are hereby dismissed in their entirety with prejudice as against all parties and without costs against any party.

Liddle & Robinson, L.L.P.
800 Third Avenue, 8th Floor
New York, New York 10022
Attorneys for Plaintiff
James Rist

By: 
James R. Hubbard

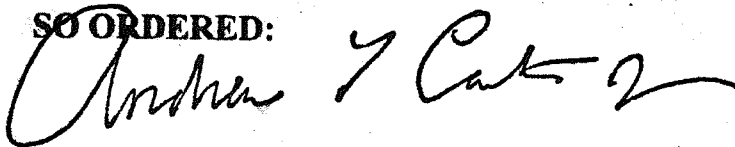
Dated: 4/11/2017, 2017

Boies, Schiller & Flexner LLP
575 Lexington Avenue, 7th Floor
New York, New York 10022
Attorneys for Defendant
HSBC Securities (USA) Inc.

By: 
Randall W. Jackson

Dated: 4/11/2017, 2017

SO ORDERED:



U.S.D.J.